



**MedStrategy Project - Integrated Strategy for Sustainable
Development of Mediterranean Rural Areas**
Project reference no.: 2G-MED09-282

**C3 – TERRITORIES AND INSTITUTIONS:
diagnosis and ex ante evaluation**

Phase 2 – Analysis of the Institutional Framework

“Report on the Institutional Framework ”
Intermunicipal Consortium Tindari Nebrodi



May 2011



KAPE CRES | CENTRE FOR RENEWABLE
ENERGY SOURCES AND SAVING



The priority objective of the institutional analysis is to **analyze the governance systems of Local Bodies pointing out the interdependences of the different institutional levels, the criticalities (competences, effectiveness and efficiency) and their ability to sustain growth and innovation.** This analysis allowed to appraise the ability of local authorities to develop politics and programs, finalized to the sustainability of the Local Development and able to increase the competitiveness and the attractiveness of the territory in coherence with European, national and regional planning politics.

In order to facilitate the data and information gathering for the development of indicators, addressed to the layout of the institutional analysis, a **data gathering file** has been prepared and distributed to the Municipal representatives of the Intermunicipal Tindari-Nebrodi Consortium during a meeting held in Patti in April 2011 (preliminary to the beginning of the participation process). Aims of the file are:

1. **Facilitating the data gathering** for the definition of the diagnostic and institutional framework. In particular the required information are addressed to assess:
 - the existence and the advancement state of urbanistic and territorial planning tools foreseen by the actual legislative system;
 - the presence of tools, specific norms, projects and activities on building energetic certification;
 - the presence of green areas, LTZ, pedestrian areas and bikelines in the territory;
 - the availability of public services.

2. **Assessing the ability of each Municipality to "network" with other Municipalities, bodies and institutions** and to start politics, initiatives and strategic processes addressed to the social-economic development sustainability and to the cultural heritage and environmental goods valorisation. The requested information are addressed to assess if the administration:
 - Signed agreements for sustainability with other bodies and/or political institutions;
 - Activated training courses for their permanent staff on the theme of sustainability;
 - Started participated planning processes addressed to citizen, bodies and/or institutions;
 - Promoted and/or used negotiated planning tools.

3. **Assessing the ability of each Municipality to intercept resources and European funds** and to detect the actions and projects realised through the use of these funds. The required

information are in particular directed to assess if the public administration developed projects, realized infrastructures and equipments, or wrote plans and strategic programs through the use of European funds.

80% of the Municipalities filled the file with the requested information. The results, analysed through the evaluation of the files, contributed to give a frame of the institutional context. In the next pages follow the "Data gathering sheet" and the "Results of the Institutional analysis."

DATA GATHERING SHEET FOR THE INSTITUTIONAL ANALYSIS

Comune di.....

Riferimenti	<i>Nome e qualifica del funzionario che ha compilato la scheda:</i>		
	<i>Ufficio/Ente/Servizio che ha compilato la scheda:</i>		
	<i>Indirizzo:</i>		
	<i>Recapito telefonico:</i>	<i>fax:</i>	<i>e-mail:</i>

Piano Regolatore Generale/Programma di Fabbricazione	
Quale strumento di pianificazione è attualmente vigente nel territorio comunale?	<input type="checkbox"/> PRG <input type="checkbox"/> Programma di fabbricazione <input type="checkbox"/> Altro (specificare)
Quando è stato adottato ed approvato?	Data di adozione Decreto/data di approvazione

Piani e programmi di area vasta				
Quali piani e programmi sono stati elaborati e/o adottati in relazione a quelli previsti dall'Ordinamento Legislativo in Area Vasta?(regione, provincia, ATO, ecc...)	<input type="checkbox"/> Piano Paesistico Regionale	<input type="checkbox"/> In fase di elaborazione	<input type="checkbox"/> Adottato	<input type="checkbox"/> Vigente
	<input type="checkbox"/> Piano Paesistico d'ambito	<input type="checkbox"/> In fase di elaborazione	<input type="checkbox"/> Adottato	<input type="checkbox"/> Vigente
	<input type="checkbox"/> Piano territoriale provinciale	<input type="checkbox"/> In fase di elaborazione	<input type="checkbox"/> Adottato	<input type="checkbox"/> Vigente
	<input type="checkbox"/> Piano territoriale Parco dei Nebrodi	<input type="checkbox"/> In fase di elaborazione	<input type="checkbox"/> Adottato	<input type="checkbox"/> Vigente
	<input type="checkbox"/> Piano di utilizzazione delle Riserve Naturali	<input type="checkbox"/> In fase di elaborazione	<input type="checkbox"/> Adottato	<input type="checkbox"/> Vigente
	<input type="checkbox"/> Piano di gestione dei SIC/ZPS	<input type="checkbox"/> In fase di elaborazione	<input type="checkbox"/> Adottato	<input type="checkbox"/> Vigente
	<input type="checkbox"/> Altro (specificare)	<input type="checkbox"/> In fase di elaborazione	<input type="checkbox"/> Adottato	<input type="checkbox"/> Vigente

Strumenti locali sulla certificazione energetica degli edifici

Gli strumenti urbanistici e i regolamenti edilizi vigenti nel territorio comunale hanno recepito le direttive europee e le leggi nazionali e regionali sulla certificazione energetica degli edifici?			<input type="checkbox"/> no	<input type="checkbox"/> si
Esistono delle specifiche norme all'interno del Regolamento Edilizio comunale e dello strumento urbanistico vigente? Quali sono e cosa prescrivono?	<input type="checkbox"/> no <input type="checkbox"/> si	Norme specifiche sulla certificazione energetica degli edifici (specificare in forma sintetica).....		
Sono previste incentivazioni per il miglioramento dell'efficienza energetica degli edifici			<input type="checkbox"/> no	<input type="checkbox"/> si
Sono state avviate specifiche iniziative/progetti/attività in materia di certificazione energetica degli edifici? Che tipo di iniziative/progetti/attività sono state avviate e cosa prevedono??	<input type="checkbox"/> no <input type="checkbox"/> si	Iniziative/progetti/attività in materia di certificazione energetica degli edifici n. (specificare in forma sintetica.....		
Esiste una banca dati degli edifici che hanno ottenuto una certificazione energetica ?			<input type="checkbox"/> no	<input type="checkbox"/> si
Sono stati eseguiti interventi di risparmio energetico sugli edifici pubblici?			<input type="checkbox"/> no	<input type="checkbox"/> si

Eco-managment – Politiche finalizzate alla sostenibilità

<p>Sono state avviate politiche, attività e accordi con altre istituzioni o reti di comuni orientate alla sostenibilità? Se si, che tipo di iniziative, attività, accordi sono stati avviati? Quali reti di comuni sono state costituite?</p>	<input type="checkbox"/> no <input type="checkbox"/> si	<p>Politiche/attività/accordi orientati alla sostenibilità n..... (specificare in forma sintetica)</p>
<p>Sono stati attivati corsi di formazione ed educazione ambientale negli ultimi 5 anni? Se si, specificare il n. di corsi. Per ogni corso specificare la tipologia e il n. di partecipanti per corso*</p>	<input type="checkbox"/> no <input type="checkbox"/> si	<p>n. corsi attivati:</p> <p>*Tipologia:</p> <p>n. partecipanti:</p>
<p>L'amministrazione comunale ha realizzato esperienze di progettazione partecipata rivolte alla cittadinanza?</p>	<input type="checkbox"/> no <input type="checkbox"/> si	<p>Esperienze di progettazione partecipata n..... (specificare in forma sintetica) 1...... anno..... 2...... anno..... 3...... anno.....</p>
<p>L'amministrazione comunale ha realizzato esperienze di progettazione partecipata rivolte ad istituzioni/associazioni /comunità?</p>	<input type="checkbox"/> no <input type="checkbox"/> si	<p>Esperienze di progettazione partecipata n..... (specificare in forma sintetica) 1...... anno..... 2...... anno..... 3...... anno.....</p>

Eco-managment – Politiche finalizzate alla sostenibilità

<p>L'amministrazione comunale ha attivato canali di progettazione partecipata rivolti alla cittadinanza?</p>	<input type="checkbox"/> no <input type="checkbox"/> si	<p>Canali di progettazione partecipata n.....</p> <p>(specificare in forma sintetica)</p> <p>1.....anno.....</p> <p>2.....anno.....</p>
<p>L'amministrazione comunale ha attivato canali di progettazione partecipata rivolti ad istituzioni/associazioni/comunità?</p>	<input type="checkbox"/> no <input type="checkbox"/> si	<p>Canali di progettazione partecipata n.....</p> <p>(specificare in forma sintetica)</p> <p>1.....anno.....</p> <p>2.....anno.....</p>
<p>L'amministrazione comunale ha attivato processi di AG21 o altri sistemi di gestione ambientale simili? Se si, specificare quali.</p>	<input type="checkbox"/> no <input type="checkbox"/> si	<p>Processi AG21 o altri sistemi di gestione ambientale attivati n.</p> <p>(specificare quali/data).....</p> <p>.....</p>
<p>L'amministrazione comunale ha pubblicato un Report ambientale (Rapporto sullo Stato dell'Ambiente)?</p>	<input type="checkbox"/> no <input type="checkbox"/> si	<p>Data.....</p>
<p>L'amministrazione comunale ha realizzato un Piano d'Azione Locale all'interno del processo di Agenda 21 Locale?</p>	<input type="checkbox"/> no <input type="checkbox"/> si	<p>Data.....</p>

Eco-managment – Politiche finalizzate alla sostenibilità				
<p>Sono stati sviluppati processi di pianificazione strategica comuni con altre aree vicine?</p> <p>Se si specificare per ognuno di essi: l'anno, lo stato di avanzamento e le risorse finanziarie impiegate*</p>		Risorse finanziarie richieste	Risorse finanziarie utilizzate	n. enti coinvolti
	<input type="checkbox"/> PRUSST (nome ed anno)			
	<input type="checkbox"/> PIT (nome ed anno)			
	<input type="checkbox"/> Patto territoriale (nome ed anno)			
	<input type="checkbox"/> Patto territoriale per l'agricoltura (nome ed anno)			
	<input type="checkbox"/> Distretto turistico (nome ed anno)			
	Altro (specificare) <input type="checkbox"/> <input type="checkbox"/>			
<p>Sono stati sviluppati progetti attraverso l'utilizzo di fondi dell'UE?</p> <p>Se si, specificare per ognuno dei progetti: l'anno, lo stato di avanzamento, la tipologia dei fondi utilizzati (Life programme, MedProgramme, ENPI, INTERREG), le risorse finanziarie impiegate e i partner coinvolti.*</p>		Risorse finanziarie richieste	Risorse finanziarie utilizzate	n. partners coinvolti
	P.O.R. (specificare misura)			
	<input type="checkbox"/>			
	<input type="checkbox"/>			
	<input type="checkbox"/> LIFE (nome)			
	<input type="checkbox"/> MedProgramme (nome)			
	<input type="checkbox"/> ENPI (nome)			
Altro (specificare) <input type="checkbox"/> <input type="checkbox"/>				

Eco-managment – Politiche finalizzate alla sostenibilità

Strumenti locali, piani e iniziative finalizzate al miglioramento del trasporto pubblico urbano e alla promozione di modalità di trasporto innovative. Se esistono specificare quali.	(specificare in forma sintetica)		
L'amministrazione ha redatto un Piano Urbano della mobilità (PUM)?	<input type="checkbox"/> no	<input type="checkbox"/> si	Data
L'amministrazione ha redatto un Piano Urbano del Traffico (PUT)?	<input type="checkbox"/> no	<input type="checkbox"/> si	Data
Esistono stazioni per il monitoraggio della qualità dell'aria? Specificare numero e localizzazione.	<input type="checkbox"/> no	<input type="checkbox"/> si	Centraline fisse n. :.....posizione:..... Inquinanti rilevati:..... Centraline mobili n. :.....posizione:..... Inquinanti rilevati:.....
Esistono piani di Risanamento della qualità dell'aria?	<input type="checkbox"/> no	<input type="checkbox"/> si	Data
Nell'organigramma dell'amministrazione comunale è presente un Energy Manager?	<input type="checkbox"/> no		<input type="checkbox"/> si
Nell'organigramma dell'amministrazione comunale è presente un Mobility Manager?	<input type="checkbox"/> no		<input type="checkbox"/> si
Altre iniziative di Eco-Managment non specificate(specificare in forma sintetica)		

RESULTS of the Institutional Analysis

The Institutional Analysis and Evaluation		THEMATIC AREAS																							
		Characterizat of context		Settlement system		Consumption and lifestyle choices		Territorial and environmental resources		Territorial services		Economic activities		Policies addressed to sustainability		Economic activities		Eco Management							
		Planning		Management of Urban Centres										Activities oriented to define actions or policies of local Sustainable Development			Activities oriented to define actions or policies of Territorial Sustainable Development								
INDICATORS		Local Planning	Territorial Planning	Green areas and Local Public Services	Pedestrian areas and Restricted Traffic Zones	Bicycling Paths	Quality of housing stock	Innovation and Eco-Management: Energy, Mobility, Air, Green Products	EU funds used for local interventions				Participation Processes	Environmental Reports	Quality Certificates ISO or EMAS	Negotiated Planning Tools	EU funds used for territorial interventions	Using Innovative Programs							
The Institutional Analysis and Evaluation	1	Coordinating between different scales of governance		Local scale territorial planning is not perceived as an opportunity for local development planning.		Only about 15% of municipalities have implemented or started programs to upgrade the public space. The programs implemented, considered 'excellent', have been isolated cases.											The strategic potential of the programs adopted were not always exploited. The various programming tools have been used with the main objective of intercepting financial resources for interventions at a local level.								
	2	Synergy between the institutions and the political leadership												Less than 50% of the municipalities are aware that the Consortium Tindari Nebrodi initiated the participatory process of Local Agenda 21 and drew an Environment Report. In addition, the activity was carried out and reporting back at the municipal scale.			None of the municipalities have started actions to achieve Environmental Quality Certification			Only 10% of the municipalities is able to provide a satisfactory knowledge of their territorial planning			Lack of knowledge and / or awareness of the potential role of innovative strategic programs. The recent establishment of the Tourist District Area is a new opportunity for local communities.		
	3	Support for strategies and action plans aimed at the integrated development and the territorial marketing		The Governance actions implemented are confined to the EU Directives on Wastes and Water Management. The ATQ(1) and their choices on the management systems are not the result of a shared process with the respective municipalities.		There is no special concern to upgrading and improving energy Architectural heritage				Municipalities have no policy aimed at improving the efficiency of key sectors															
	4	Compliance with the objectives and deadlines expected in the territorial programming				It was not introduced any programs with specific objectives aimed at improving services and the regeneration of urban centers																			
	5	Capacity to use EU funds and specific financial commitments to support the carrying capacity																							
	6	Use of the local resources to improve governance-oriented at the integration of environmental, social and economic aspects								The financial resources coming from strategic programs and EU funds were used for the requalification of historical and cultural heritage. They are usually devoted just to local needs and are not linked to wider development program.							The financial resources of EU funds are targeted to improve or build infrastructure for local services in the absence of a Strategic Vision developed with the active participation of stakeholders								
	7	Decision-making - The role of stakeholders in review at the national, local and other levels, in relation to the local socio-economic contexts																							
	8	Training, education and awareness activities												Less than 10% of municipalities have started participatory processes for managing programs in key areas related to policies for sustainable development or the quality of urban environment.											
	9	Promotion of participative processes															The drawing up of the Strategic Plans was not used in an appropriate manner to ensure the participation of Stakeholders in the project phase.								

RESULTS of the Institutional Analysis

The Institutional Analysis and Evaluation		INSTITUTIONAL SYSTEM				
The Institutional Analysis and Evaluation	INDICATORS	The capacity to cooperate with the different levels of government for the territorial management	The ability of the Local Authorities in fostering growth and innovation in a competent, efficient and effective manner	The capacity of the Local Authorities to develop policies, programs e government actions oriented at the sustainable development	The capacity of the Local Authorities to promote a shared (common) "Vision" of the sustainable development through the activation of participative processes	
	1	Coordinating between different scales of governance	The Survey form does not show a full awareness of the planning instruments in force in the territory. It is still felt only as a set of "additional environmental constraints" on the territory, rather than an opportunity to be exploited.	Only a few municipalities have started and partially implemented integrated programs for the requalification of the urban centers. The experiences are good examples of best "excellent" practices, which have exclusively been confined locally.	Local governments have participated in several Wide Area Strategic Programs. The actions identified are addressed primarily to the implementation of municipal infrastructures. Other interventions are aimed at the renovation of assets of historical and cultural interest.	
	2	Synergy between the institutions and the political leadership				
	3	Support for strategies and action plans aimed at the integrated development and the territorial marketing		The Plans and the Programs approved and implemented do not always oriented to the definition of integrated development strategies or territorial marketing. In programming Local and Wide Area Tools the stakeholders have not always had an active role.		
	4	Compliance with the objectives and deadlines expected in the territorial programming		There are not interventions are planning actions with specific objectives aimed at improving services and at the requalification of the urban areas		
	5	Capacity to use EU funds and specific financial commitments to support the carrying capacity		The funds used were mainly oriented to the		The strategic potential of the programs adopted was not always exploited.
	6	Use of the local resources to improve governance-oriented at the integration of environmental, social and economic aspects		Less than 10% of municipalities have initiated Participated Processes for the management of programs in key areas related to policies for sustainable development or the quality of the urban areas.		
	7	Decision-making - The role of stakeholders in review at the national, local and other levels, in relation to the local socio-economic contexts		The Stakeholders are perceived as an added value to define a Shared Vision, but just as beneficiaries of funding.		
	8	Training, education and awareness activities				
	9	Promotion of participative processes				The Strategic Programs have not been used in an appropriate manner to ensure the "active" participation of the Stakeholders in the construction of the Program